

**Defense Council on Integrity and Efficiency (DCIE)  
Inspections and Evaluations (I&E) Roundtable  
April 19, 2005**

**Minutes of Meeting**

1. Wm Brem Morrison, Assistant Inspector General for Inspections and Evaluations, Office of the Deputy Inspector General for Inspections and Policy Office of Inspector General of the Department of Defense (OIG DoD), hosted the fourth DCIE I&E Roundtable at 400 Army Navy Drive, Arlington, Virginia, on April 19, 2005.
2. Mr. Morrison is the Chairperson of the DCIE I&E Roundtable. The purpose of the Roundtable is to provide a forum for Defense Department Inspectors General to communicate, coordinate, and collaborate on topics of mutual interests related to inspections and evaluations. The goals of the Roundtable are to exchange information, share best practices, and prevent duplication of effort among the Defense Department IGs.
3. The attendees included I&E representatives from the Combatant Command IGs, Services' OIGs, Defense Agency IGs, and DoD IG. See list of attendees below.
4. Mr. Morrison opened the meeting with welcoming remarks and conveyed greetings from the Honorable Joseph Schmitz, the DoD IG, and Mr. Hansen, the Deputy IG for Inspections and Policy, who were unable to attend due to scheduling conflicts. All attendees then introduced themselves and provided opening remarks.
5. Mr. D.L. Clute, a Senior Inspection Manager, Defense Intelligence Agency (DIA), briefed an overview of the DIA IG. Included on the DIA IG inspections and evaluations staff are three contractors. Mr. Clute mentioned that his organization uses outside subject matter experts to assist the inspection/evaluation team, as required. He also emphasized that the DIA IG uses the President's Council on Integrity and Efficiency standards in conducting audits and evaluations. Furthermore, the DIA IG uses private industry to benchmark best practices. For example, they traveled to Caterpillar and Harley Davidson to look at the logistics/inventory system before they did an evaluation of a DIA supply system. During the ensuing Q&A, Roundtable members were most curious about the issues raised when contractors perform inspections/evaluations for the government. Mr. Clute offered to provide an e-mail to all members and summarize appropriate specifics.
6. The second presentation was made by Mr. John Kinczel of the Defense Security Service (DSS). Mr. Kinczel spoke about the security clearance process, monitoring of classified material by industry, and the issues relating to the theft of identity. He said that DSS has three parts –Personal Security Investigations, Defense Security Service Agency,

and the Industrial Security Service Group. There are 300 to 500 personnel who monitor how industry handles classified information. He informed the Roundtable attendees that the Office of Personnel Management (OPM), not DSS, manages the security clearance process. They are looking for ways to streamline the clearance/review process by using a web/electronic format and, perhaps, screen individuals for derogatory information against existing data bases. There are 2.5 million people with security clearances. Since each of these clearances should be reviewed every five years--that translates to 500,000 clearance reviews per year. Mr. Kinczel suggested everyone should print out a back-up copy or file a e-copy of his/her security clearance evaluation because the old database was being converted to a new one and there is the potential that electronic data may be lost. Finally, Mr. Kinczel talked about the recent Bank of America incident resulting in the possible compromise of customer information. He also advised the attendees of suspicious letter writing scams.

7. Commander Grant Brigger, Office of the NORTHCOM IG, presented the third briefing. He commented that since NORTHCOM was a relatively new command, they are always looking for ideas of how to better organize and use their IG shop. He mentioned that a big issue with NORTHCOM was looking at how information on non-DoD citizens should be handled given there are so many laws governing this intelligence security program. CDR Brigger also discussed that NORTHCOM was dealing with issues that were handed off from SPACECOM, NORAD, and STRATCOM. He said they were conducting some operational evaluations with the Department of Homeland Defense and testing response time for a fighter aircraft to intercept a hijacked plane and the chain of command's process to issue appropriate orders to the fighter pilot. They have had exercises with the New Jersey state government on hijack scenarios where the state actually deployed personnel. CDR Brigger said that NORTHCOM looks at issues that involve intelligence oversight, fraud, waste, abuse, hotline, and force protection. CDR Brigger took some questions and talked about joint reports that span multiple organizations. In an ensuing discussion on training combatant command IGs, Major Higdon provided the group with an update on Combatant Command Joint IG Course (CCJIGC). Major Higdon said the "beta" test of the new course is scheduled for mid-May at TIG University, Ft. Belvoir VA. Mr. Morrison reminded the Roundtable that DoD IG's vision is to create a similar course for Defense Agencies' IGs and then offer these courses to all PCIE and ECIE inspectors general.

8. Major Mike Patterson, USA, National Guard Bureau (NGB) gave the forth presentation regarding National Guard IG issues. The majority of the NGB IG issues center around mobilization and demobilization issues. The NGB Commander, Lieutenant General Blum, has directed the IGs to look at the National Guard enlisted promotion system to ensure fairness, responsiveness, and transparency.

9. Mr. Morrison spent the next 20 minutes discussing the DoD IG's task to all Services on exemplary conduct standards (ECSs). Mr. Larry Lippolis of the Navy IG office talked about the Navy's exemplary conduct standard that was written by John Adams for the Navy in the late 1700s. Mr. Lippolis said there are legal issues that center on ECSs, which are intended to be inspirational standards, rather than accountability standards.

Mr. Lippolis said the Navy treats the ECSs as an inspirational standard, and he solicited views of the other Services. For instance, Mr. Lippolis said an offending officer wouldn't be charged with an ECS standard violation, but would be charged with a specific violation of the UCMJ.

10. Next, Mr. Joe Baker (DoD IG) presented a slide presentation of the recent DoD/Department of State joint IG evaluation of the Iraqi police training efforts. Mr. Baker's team just returned from Iraq.

11. Lastly, there were update comments on three DoD IG I&E projects: DoD Safety Program Evaluation, Voting Assistance Program (VAP), and TIP. Colonel Forrest Sprester summarized the four objectives of his project. (See attached brief). Second, Mr. Deane Williams briefed that the DoD IG VAP Report recommends a "push" system to disseminate voting information and materials and suggests program management metrics and legislative changes. Finally, Mr. Morrison reviewed the attached TIP brief.

12. The next DCIE I&E is scheduled for 14 July. If anyone would like to host the next DCIE I&E or would like to suggest an alternative meeting location, please contact Major Higdon

## 2 Attachments

1. DoD Safety Program Evaluation
2. Trafficking In Persons (TIP) Brief

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